PTO/SB/21 (02-04)

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4 TRADE	Application Number	10/759,656
TRANSMITTAL	Filing Date	January 14, 2004
FORM	First Named Inventor	Hauser et al.
(to be used for all correspondence after initial filing)	Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission 8	Attorney Docket Number	36400.18US10
FN	CLOSURES (Check all th	eat anniu)
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request X Information Disclosure Statement	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Add  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information
	OF APPLICANT, ATTOR	NEY, OR AGENT
Individual name Eisenberg LLP	; (Reg. No. 33,734); Nea	al, Gerber &
Date May 30, 200	,)	
NW 38, 200	1	
<del></del>	ICATE OF TRANSMISSIO	
	addressed to: Commissioner for P	or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name  TAREN	46/.6	
Signature Turn A	M	Date 3-30-84

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hauser et al.	)	
Serial No.:	10/759,656	)	Examiner: unassigned
Filed:	January 14, 2004	)	Group Art Unit: unassigned
Γitle:	Hydrostatic Transmission	)	Docket No.: 36400 18US10

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, pursuant to 3 7 C.F.R. § 1.56, the items of information listed on the enclosed Forms PTO/SB/08a and PTO/SB/08b are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the Application. Therefore, no fee is required.

In accordance with the office's recent notice (OG Notices: 05 August 2003) waiving the requirement of filing U.S. patent references, the cited U.S. Patents are not enclosed. In accordance with 37 C.F.R. §1.98(d), copies of other art listed on the enclosed Form PTO/SB/08b, which was previously cited by or submitted to the Office with the previously filed application, of which the current application is a continuation of, is not enclosed. The previously filed applications upon which the current application relies for an earlier filing date under 35 U.S.C. § 120 are:

U.S. patent application Serial No. 10/376,889 filed on February 28, 2003; which is a continuation of U.S. patent application Serial No. 10/271,484 filed on October 16, 2002 and now Patent No. 6,637,293; which is a continuation of U.S. patent application Serial No. 09/854,989 filed on April 30, 2001 and now Patent No. 6,487,935; which is a continuation of U.S. patent application

Serial No. 09/354,963 filed on July 16, 1999 and now Patent No. 6,253,637; which is a continuation-in-part of U.S. patent application Serial No. 09/196,182 filed on 11/20/1998 and now Patent No. 6,122,996; which is a continuation of U.S. patent application Serial No. 09/773,994 filed on February 1, 2001 and now Patent No. 6,318,080; which is a continuation of U.S. patent application Serial No. 09/196,183 filed on November 20, 1998 and now Patent No. 6,152,247.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Forms PTO/SB/08a and PTO/SB/08b, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: March <u>30</u>, 2004

Thomas C. McDonough Registration No. 33,734

NEAL, GERBER & EISENBERG LLP

2 North LaSalle Street, Suite 2200

Chicago, Illinois 60602

(312) 269-5282

Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this day of March, 2004.

- 2 -

PTO/SB/08a (08-03)

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	TRAUTS Substitute for form 1449A/PTO				Complete if Known			
ظل					Application Number	10/759,656		
	INF	<b>ORMATION DI</b>	SCLO	SURE	Filing Date	January 6, 2004		
STATEMENT BY APPLICANT				CANT	First Named Inventor	Raymond Hauser		
					Art Unit	Not Yet Assigned		
		(Use as many sheets as	necessary)		Examiner Name	Not Yet Assigned		
	Sheet	1	of	3	Attorney Docket Number	36400 18US10		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,223,531	05-01-2001	Hauser et al .	
		<sup>US-</sup> 6,185,936	01-02-2001	Hauser et al	
		US- 6,122,996	01-09-2000	Hauser et al	
		<sup>US-</sup> 5,950,500	01-09-1999	Okada et al	
		<sup>US-</sup> 5,887,484	03-30-1999	Abend et al	
		US- 5,873,287	02-23-1999	Kawada	
		<sup>US-</sup> 5,836,159	01-11-1998	Shimizu et al	
		<sup>US-</sup> 5,794,443	01-08-1998	Shimizu et al	
		<sup>US-</sup> 5,771,758	01-06-1998	Hauser	
		<sup>US-</sup> 5,588,294	01-12-1996	Sakakura et al	
		US- 5,555,727	01-09-1996	Hauser et al	
		<sup>US-</sup> 5,546,752	01-08-1996	Horton et al	
		<sup>US-</sup> 5,440,951	01-08-1995	Okada et al	
		<sup>US-</sup> 5,373,697	01-12-1994	Jolliff et al	
	-	<sup>US-</sup> 5,339,631	01-08-1994	Ohashi	
		<sup>US-</sup> 5,333,451	01-08-1994	Sakikawa et al	
		<sup>US-</sup> 5,314,387	01-05-1994	Hauser et al	
		<sup>US-</sup> 5,311,740	01-05-1994	Shiba et al	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code 3-Number 4- Kind Code 5 (II known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	тв			
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Kinds Codes of USPTO Patent Documents by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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36400.18US10

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/759,656 Filing Date INFORMATION DISCLOSURE January 16, 2004 First Named Inventor STATEMENT BY APPLICANT Raymond Hauser Art Unit Not Yet Assigned **Examiner Name** Not Yet Assigned (Use as many sheets as necessary)

of

Sheet

Attorney Docket Number

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,289,738	01-03-1994	Szulczewski	
		<sup>US-</sup> 5,201,692	01-04-1993	Johnson et al	
		<sup>US-</sup> 5,182,966	02-1993	von Kaler et al	
		<sup>US-</sup> 5,163,293	11-1992	Azuma et al	
		<sup>US-</sup> 5,146,748	09-1992	Okada	
		<sup>US-</sup> 5,136,845	08-1992	Woodley	
		<sup>US-</sup> 5,094,077	03-1992	Okada	
		<sup>US-</sup> 5,074,195	12-1991	Ohashi et al	
		<sup>US-</sup> 5,042,252	08-1991	Havens et al	
		<sup>US-</sup> 5,010,733	04-1991	Johnson	
		<sup>US-</sup> 4,986,073	01-1991	Okada et al	
		<sup>US-</sup> 4,932,209	06-1990	Okada et al	
		<sup>US-</sup> 4,914,907	04-1990	Okada	
		<sup>US-</sup> 4,905,472	03-1990	Okada	
		<sup>US-</sup> 4,899,541	02-1990	Okada et al	
		<sup>US-</sup> 4,870,820	10-1989	Nemoto	
		<sup>US-</sup> 4,856,368	08-1989	Fujisaki et al	
		<sup>US-</sup> 4,167,855	08/1979	Knapp	

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6				

Examiner	 Date	
Signature	Considered	
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				Application Number	10/759.656	
INF	<b>FORMATION DIS</b>	CLOS	URE	Filing Date	January 16, 2004	
ST	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ANT	First Named Inventor	Raymond Hauser		
				Art Unit	Not Yet Assigned	
	(Use as many sheets as necessary)		Examiner Name	Not Yet Assigned		
Sheet	3	of	3	Attorney Docket Number	36400-18US10	

Examiner	Cite	Document Number	U. S. PATENT Publication Date		
Initials*	No.1	Number-Kind Code <sup>2 (If known)</sup>	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 3,360,933	01-1968	Swanson et al	
		US- 6,231,466 US- 4,893,524	05-15-2001	Thoma et al	
		<sup>US-</sup> 4,893,524	01-16-1990	Kobe et al	
		<sup>US-</sup> 5,542,307	08-06-1996	Hasegawa et al	
		US- 6,098,740	08-08-2000	Abend et al	
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Signature	Considered	

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Attorney Docket Number

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number

10/759,656

Filing Date

January 14, 2004

First Named Inventor

Ramond Hauser

Art Unit

Not Yet Assigned

Examiner Name

Not Yet Assigned

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Signature

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*  Cite No.1  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the it magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publish and/or country where published.			Τ²	
		Photograph dated '96 3 4 of Model 310-3000 integrated hydrostatic transaxle		
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.